



SECTOR

PATENTS  
CF/019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip M. Ginsberg et al.  
Application No. : 09/853,430  
Confirmation No. : 6761  
Filed : May 10, 2001  
For : SYSTEMS AND METHODS FOR ANONYMOUS  
ELECTRONIC TRADING  
Group Art Unit : 2164

New York, New York 10020  
August 7, 2001

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

Attention: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the Notice to File Missing Parts  
of Application mailed July 9, 2001, applicants hereby  
submit the following:

1. A copy of the July 9, 2001 Notice to File  
Missing Parts of Application;
2. Executed Declaration and Power of  
Attorney;
4. Drawings in compliance with 37 CFR 1.84;  
and
3. A check in amounts of \$130.00 for the  
surcharge.

[illegible]

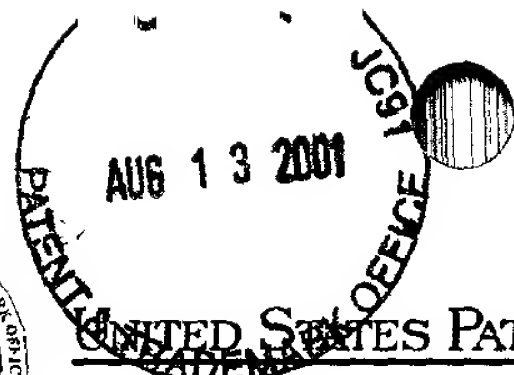
Matthew M. Ruano

I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231 on

August 7, 2001

Lillian Garcia

Gillian Han  
Signature of Person Signing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,430	05/10/2001	Philip M. Ginsberg	CF/019

CONFIRMATION NO. 6761

1473  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020-1105

## FORMALITIES LETTER



\*OC000000006268728\*

Date Mailed: 07/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

08/16/2001 WBELETE1 00000059 09853430

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130.00 OP

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1. The first group of people who are interested in the study of the history of the United States are the people who are interested in the history of the United States. They are interested in the history of the United States because they want to know more about the United States. They want to know more about the United States because they want to know more about the United States.